SEC Form 4

Common Stock

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Estimated average b	urden
hours per response:	0.5

By Hilrod

Holdings XI, L.P.⁽³⁾ By Hilrod

Holdings XII, L.P.⁽³⁾ By Hilrod Holdings

XIII, L.P.⁽³⁾

Ι

Ι

Ι

505,242

327,186

1,440,954

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

					()									
1. Name and Address of Reporting Person [*] SCHLOSBERG HILTON H					suer Name and Tic onster Bevera				5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last) (First) (Middle) 1 MONSTER WAY					ate of Earliest Trans 28/2020	saction	(Montł	n/Day/Year)	X Officer (give title below) Other (spectrum) Vice Chairman and President					
(Street) CORONA	CA	4. lf	Amendment, Date (of Origir	nal File	d (Month/Day	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting							
(City)	(State)	(Zip)										Person		
	<i>(</i> , , , ,)	Table I - No	1		Securities Ac		d, Di	-				-		
1. Title of Security	(Instr. 3)		2. Transacti Date (Month/Day/		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A Disposed Of (D) (Instr. 3 5)			nd	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
						Code	v	Amount	(A) or (D) Prio		е	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock			12/28/20	020		G ⁽¹⁾	v	39,480	A	\$	0	427,903	D	
Common Stock			12/31/20)20		G ⁽²⁾	v	250,000	D	\$	0	177,903	D	
Common Stock												5,645,568	I	By Brandon Limited Partnership No. 1 ⁽³⁾
Common Stock												29,386,944	I	By Brandon Limited Partnershij No. 2 ⁽³⁾
Common Stock												104,772	I	By Hilrod Holdings IV, L.P. ⁽³⁾
Common Stock												214,284	I	By Hilrod Holdings V, L.P. ⁽³⁾
Common Stock												323,700	I	By Hilrod Holdings VI, L.P. ⁽³⁾
Common Stock												120,216	I	By Hilrod Holdings VII, L.P. ⁽³⁾
Common Stock												568,584	I	By Hilrod Holdings VIII, L.P. ⁽⁾
Common Stock												453,444	I	By Hilrod Holdings IX, L.P. ⁽³⁾
Common Stock												249,918	I	By Hilrod Holdings X, L.P. ⁽³⁾

		Tab	le I - N	on-Deri	vative	Sec	uriti	es Ao	quire	d, Di	sposed o	of, or Be	eneficia	lly Owned	b			
1. Title of S	Security (Ins	tr. 3)		2. Transa Date (Month/D	Exe if an			3. Transa Code (8)		4. Securitie Disposed (5)		l (A) or . 3, 4 and	5. Amount Securities Beneficial Owned Fo Reported	ly Ilowing	6. Own Form: I (D) or II (I) (Inst	Direct ndirect r. 4)	7. Nature of ndirect Beneficial Dwnership Instr. 4)	
									Code	v	Amount	(A) or (D)	Price	Transactio (Instr. 3 an				-
Common Stock													186,7	790]	E i	By Hilrod Holdings XIV, L.P. ⁽³⁾	
Common Stock													4,17	76]	E i	By Hilrod Holdings XV, L.P. ⁽³⁾	
Common	Stock													1,311,	896]	[By Hilrod Holdings XVII, L.P. 3)
Common	Stock													427,60)6 ⁽⁴⁾]	[]	By Hilrod Holdings XIX, L.P. ⁽³⁾
Common	Stock													1,000,	000]	E	By Hilrod Holdings XX, L.P. ⁽³⁾
Common	Stock													1,000,	,000]	[]	By Hilrod Holdings XXI, L.P. ⁽³⁾
Common	Common Stock			12/31/					v	500,000) A	\$0	500,000]	[By Hilrod Holdings XXII, L.P. 3)	
Common Stock													105,486]	[By RCS Direct 2010 GRAT ⁽³⁾	
Common	Common Stock												4,836]	[By RCS Direct 2010 GRAT #2 ⁽³⁾	
Common	ommon Stock										1,639,842			[By RCS 2010 GRAT #3 ⁽³⁾			
Common	Common Stock													80,598]	[By RCS Direct 2011 GRAT ⁽³⁾
		т	able II	- Deriva	ative	Secu	rities	s Acc	uired,	Dis	posed of	, or Ben	eficially	/ Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any		4. Transa Code (8)	ction	5. Nu of Deriv Secu Acqu (A) o Disp of (D	umber vative urities uired or osed) r. 3, 4	-	Exerci on Da		7. Title an Amount o Securities Underlyin Derivative (Instr. 3 an	d f g s Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownersh Form: Direct (D or Indire (I) (Instr.	Beneficial Ownershi t (Instr. 4)
					Code	v	(A)	(D)	Date Exercisa	able	Expiration Date	Title	Amount or Number of Shares					
Employee Stock Option (right to buy)	\$17.99								(6)		06/03/2023	Common Stock	(7)		11,	118	D	
Employee Stock Option (right to buy)	\$17.99								(6)		06/03/2023	Common Stock	(7)	40		,882	I	By Hilrod Holdings XVI, L.P. (3)
Employee Stock Option (right to buy)	\$17.99								(6)		06/03/2023	Common Stock	(7)		210,000		I	By Hilrod Holdings XV, L.P. ⁽³⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exerc Expiration Da (Month/Day/N	ate	7. Title an Amount o Securities Underlyin Derivative (Instr. 3 an	f g Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (right to buy)	\$23.35							(6)	03/14/2024	Common Stock	(7)		55,698	D	
Employee Stock Option (right to buy)	\$23.35							(6)	03/14/2024	Common Stock	(7)		420,000	I	By Hilrod Holdings XVI, L.P. (3)
Employee Stock Option (right to buy)	\$23.35							(6)	03/14/2024	Common Stock	(7)		154,302	I	By Hildrod Holdings XVIII, L.P. (3)
Employee Stock Option (right to buy)	\$45.16							(6)	03/13/2025	Common Stock	(7)		2,214	D	
Employee Stock Option (right to buy)	\$45.16							(6)	03/13/2025	Common Stock	(7)		79,200	I	By Hilrod Holdings XVI, L.P. (3)
Employee Stock Option (right to buy)	\$45.16							(6)	03/13/2025	Common Stock	(7)		156,186	I	By Hilrod Holdings XVIII, L.P. (3)
Employee Stock Option (right to buy)	\$43.99							(6)	03/14/2026	Common Stock	(7)		2,271	D	
Employee Stock Option (right to buy)	\$43.99							(6)	03/14/2026	Common Stock	(7)		97,257	I	By Hilrod Holdings XVIII, L.P. (3)
Employee Stock Option (right to buy)	\$43.99							(6)	03/14/2026	Common Stock	(7)		107,736	I	By Hilrod Holdings XX, L.P. ⁽³⁾
Employee Stock Option (right to buy)	\$43.99							(6)	03/14/2026	Common Stock	(7)		107,736	I	By Hilrod Holdings XXI, L.P. (3)
Employee Stock Option (right to buy)	\$46.27							(6)	03/14/2027	Common Stock	(7)		79,034	D	
Employee Stock Option (right to buy)	\$46.27							(6)	03/14/2027	Common Stock	(7)		24,963	I	By Hildrod Holdings XVIII, L.P. (3)
Employee Stock Option (right to buy)	\$46.27							(6)	03/14/2027	Common Stock	(7)		100,752	I	By Hilrod Holdings XX, L.P. ⁽³⁾
Employee Stock Option (right to buy)	\$46.27							(6)	03/14/2027	Common Stock	(7)		100,751	I	By Hildrod Holdings XXI, L.P. (3)
Employee Stock Option (right to buy)	\$58.73							(8)	03/14/2028	Common Stock	(7)		88,000	D	
Employee Stock Option (right to buy)	\$58.73							(6)	03/14/2028	Common Stock	(7)		88,000	I	By Hilrod Holdings XX, L.P. ⁽³⁾

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exerc Expiration Da (Month/Day/N	ate	7. Title an Amount o Securities Underlyin Derivative (Instr. 3 ar	f g Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (right to buy)	\$58.73							(6)	03/14/2028	Common Stock	(7)		88,000	I	By Hildrod Holdings XXI, L.P. (3)
Employee Stock Option (right to buy)	\$59.67							(9)	03/14/2029	Common Stock	(7)		194,400	D	
Employee Stock Option (right to buy)	\$59.67							(6)	03/14/2029	Common Stock	(7)		48,600	I	By Hilrod Holdings XX, L.P. ⁽³⁾
Employee Stock Option (right to buy)	\$59.67							(6)	03/14/2029	Common Stock	(7)		48,600	I	By Hildrod Holdings XXI, L.P. (3)
Employee Stock Option (right to buy)	\$ 62.39							(10)	03/13/2030	Common Stock	(7)		191,400	D	
Restricted Stock Units	(11)							(12)	(13)	Common Stock	(7)		34,067	D	
Restricted Stock Units	(11)							(14)	(13)	Common Stock	(7)		67,067	D	
Restricted Stock Units	(11)							(15)	(13)	Common Stock	(7)		57,700	D	

Explanation of Responses:

1. As a result of the distribution of shares, which were previously reported as indirectly beneficially owned by the reporting person, as the proceeds of an in-kind annuity payment from an existing grantor retained annuity trust to the reporting person, the total amount of shares directly owned has increased.

2. Reflects the transfer of shares from the reporting person to Hilrod Holdings XXII, L.P., of which the reporting person is one of the general partners and limited partners. The shares transferred to Hilrod Holdings XXII, L.P. are indirectly beneficially owned by the reporting person.

3. The reporting person is one of the general partners of each of Brandon Limited Partnership No. 1, Brandon Limited Partnership No. 2, Hilrod Holdings IV, L.P., Hilrod Holdings VI, L.P., Hilrod Holdings VI, L.P., Hilrod Holdings VI, L.P., Hilrod Holdings VI, L.P., Hilrod Holdings XI, L.P., Hilrod Holdi

4. Reflects the distribution of shares as the proceeds of an in-kind annuity payment from an existing grantor retained annuity trust and the transfer of shares directly to the reporting person as one of the general partners of Hilrod Holdings XIX, L.P. The shares received from the in-kind annuity payment and direct transfer are directly beneficially owned by the reporting person.

5. Reflects the transfer of shares from the reporting person and Rodney Sacks to Hilrod Holdings XXII, L.P., of which the reporting person is one of the general partners and limited partners. The shares transferred to Hilrod Holdings XXII, L.P. are indirectly beneficially owned by the reporting person.

6. The options are currently vested.

7. No transaction is being reported at this time. This line is only reporting holdings as of the date hereof.

8. The remaining options vest on March 14, 2021.

9. The remaining options vest in two equal installments on March 14, 2021 and 2022.

10. The options vest in three installments as follows: 85,066 shares on March 13, 2021, 53,167 shares on March 13, 2022 and 53,167 shares on March 13, 2023.

11. The restricted stock units were granted under the Monster Beverage Corporation 2011 Omnibus Incentive Plan. Each restricted stock unit represents a contingent right to receive one share of the Company's common stock as of the vesting date.

12. The remaining restricted stock units vest on March 14, 2021.

13. Not applicable.

14. The restricted stock units vest in two installments as follows: 33,533 units on March 14, 2021 and 33,534 units on March 14, 2022.

15. The restricted stock units vest in three installments as follows: 25,644 units on March 13, 2021, 16,028 units on March 13, 2022 and 16,028 units on March 13, 2023.

Paul J. Dechary, attorney-infact 01/06/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.